# Congenital stapes fixation and juvenile otosclerosis



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#### Middle and inner ear development



### First theory of stapes development



Vestibular side or the medial side is actually created by the otic capsule bone or otic capsule lineage, and the external surface of the foot plate is derived from the second arch, similar to the stapes superstructure.

https://embryology.med.unsw.edu.au/embryology/index.php/Hearing\_-\_Middle\_Ear\_Development

#### Second theory of stapes development





#### Stapes development



- Failure of embryogenesis
- Failure of differentiation
- Failure of mesenchymal specification
- Involvement of Wnt, BMP4, SHH pathway interaction
- Neural crest cells (NCCs) migrate from the dorsal hindbrain to specific locations in pharyngeal arch (PA) 1 and 2.
- Region-specific endodermal signals direct formation of specific middle ear ossicles: Gradients of Wnt, SHH, FGF and BMP4 signaling are instrumental (Billmyre and Klingensmith, 2015; Jeong et al., 2004).

#### Stapes development





## Classification of congenital ossicular anomalies

| Table 1<br>Classifica | ation of congenital ossicular anomalies   |
|-----------------------|---|
| Class 1               | Ankylosis or isolated congenital fixation of the stapes (30.6%)   |
| Class 2               | Stapes ankylosis associated with other malformations of the ossicular chain (38.1%)                               |
| Class 3               | Congenital anomalies of the ossicular chain with a mobile stapes footplate (21.6%)                                |
| Class 4               | Congenital aplasia or severe dysplasia of the oval and round windows (includes persistent stapedial artery; 9.7%) |

Adapted from Teunissen EB, Cremers WR. Classification of congenital middle ear anomalies. report on 144 ears. Ann Otol Rhinol Laryngol 1993;102(8 Pt 1):606–12.

# Congenital stapes fixation/ ankylosis (CSFF)

- Isolated
- Associated with other ossicular abnormalities
- Fixation related to inner ear malformations
- Maximum conductive hearing loss (CHL) from birth, stable
- Pathophysiology: Annular ligament missing/abnormal

Associated malformations/syndromes can include:

- Pierre-Robin sequence (abnormal development of PA1,2, palatal abnormalities)
- X-linked gusher (wide cochlear aperture, absent modiolus)
- Teunissen-Cremers syndrome (NOG mutation, proximal symphalangism, conductive hearing loss, synostoses)- Wnt, SHH and BMP pathway abnormalities

### Juvenile otosclerosis (JO)

- Begins in childhood, before the age of 18
- Youngest presentation for surgery ~6-7 y/o
- 0.6% of individuals < 5 yrs have foci
- Conductive hearing loss is <u>progressive</u>, does not manifest initially with maximum CHL
- <u>Positive family history</u>
- Pathophysiology: Incomplete ossification of cochlea with foci of increased bone turnover- can affect stapes as vestibular side has otic capsule lineage
- Most common site of clinical manifestation: Unipolar location at anterior footplate, fissula ante fenestram (fissure anterior to the window)
- Can be associated with osteogenesis imperfecta

### **Clinical presentation**

- Normal tympanic membrane/ ear exam
- Normal tympanometry, aerated middle ear
- CHL, absent acoustic reflex
- Differential diagnoses: Anomalies of the ossicular chain, osteogenesis imperfecta, atresia of the round window, tympanosclerosis



Fixation of the Stapes Footplate in Children: A Clinical and Temporal Bone Histopathologic Study Bachor et al., 2005

- Stapes footplate exam in 288 temporal bones from 181 children (age 20 weeks of gestation- 13 years)
- Histologic analysis and chart review of 12 children that underwent surgery for footplate fixation (age 7-13 years)
- Average age at diagnosis 6.6 years
- Diagnosis of otosclerosis: Progressive CHL and intraop finding of fixation of the anterior stapediovestibular joint
- Diagnosis of stapes ankylosis: Nonhomogeneous, thickened, fixed footplate and the absence of an annular ligament

#### Histopathology

|  | Temporal<br>bones<br>[Number] | Children<br>[Number] | Sex [male/<br>female/<br>not known] | Ages<br>distribution<br>[months] | Mean<br>[months] | Median<br>[months] | Children with<br>congenital<br>anomalies<br>in sample [%] | Footplate anomalies   |
|--|-------------------------------|----------------------|-------------------------------------|----------------------------------|------------------|--------------------|---|---|
| Tufts University, Boston               | 130                           | 76                   | 43/32/1                             | 0–144                            | 15.26            | 1.35               | 51.3  | 1 bilateral atresia plate<br>1 bilateral congenital<br>ankylosis  |
| Southwestern Medical<br>Center, Dallas | 30                            | 22                   | 14/6/2                              | 0–108                            | 16.99            | 2.0                | 63.6  | 2 bilateral abnormal footplates   |
| Temporal Bone<br>Foundation, Boston    | 14                            | 7                    | 4/3                                 | 0–7.5                            | 2.09             | 0.8                | 71.4  | <ol> <li>bilateral congenital<br/>ankylosis</li> <li>abnormal thin footplate</li> <li>tilted footplate</li> </ol> |
| Wittmaack collection,<br>Hamburg       | 114                           | 76                   | 38/15/21                            | 0–144                            | 19.8             | 8                  | 2.6   | None  |
| Total                                  | 288                           | 181                  | 99/56/24                            | 0–144                            | 13.54            |                    |   | 7   |

**TABLE 3.** Temporal bone collections studied

#### Histopathology of juvenile otosclerosis



Figure 1

#### Histopathology of juvenile otosclerosis



Figure 2

#### Histopathology of juvenile otosclerosis



Figure 3

#### Intraoperative findings

| Patient<br>ID | Sex<br>[male,<br>female] | History   | Age at onset<br>of hearing<br>loss [years] | Age at<br>surgery [years] | Intraoperative<br>finding [visual<br>inspection]                                 | Histological<br>findings                                      | Most likely<br>diagnosis      |
|---------------|--------------------------|---|--|---------------------------|--|---|-------------------------------|
| 1*            | М                        | Unilateral CHL  | 4  | 11                        | Sclerotic apposition<br>on the posterior<br>part, thickened<br>white FP          | _   | Congenital stapes fixation    |
| 2*            | F                        | Delay of speech development<br>Bilateral CHL positive<br>Family history of OS | 3  | 10                        | Typical otosclerotic<br>findings at the<br>anterior part of<br>the FP, gusher/OS | Sclerotic changes<br>in the anterior<br>crus (Fig.1)          | Otosclerosis                  |
| 3*            | М                        | Bilateral CHL   | 6  | 10                        | Malformed anterior<br>crus of the stapes,<br>complete fixation<br>of the FP      | -   | Congenital stapes<br>fixation |
| 4             | Μ                        | Unilateral CHL  | 7  | 11                        | Fixation of the anterior<br>part of the FP/OS                                    | -   | Otosclerosis                  |
| 5             | F                        | Bilateral CHL<br>Positive family history of OS                                | 6  | 13                        | Typical otosclerotic<br>findings at the anterior<br>part of the FP               | -   | Otosclerosis                  |
| 6             | F                        | Delay of speech development<br>Bilateral CHL                                  | 2  | 13                        | Inhomogeneous,<br>white, thick and<br>fixed FP                                   | No pathological<br>changes of<br>the stapes<br>suprastructure | Congenital stapes<br>fixation |
| 7             | М                        | Unilateral CHL  | 7  | 12                        | Filiform anterior<br>crus and enlarged<br>and thickened FP/OS                    | -   | Otosclerosis                  |
| 8             | F                        | Unilateral CHL  | 4  | 8                         | Narrow oval<br>window niche  | -   | Anomaly                       |
| 9             | М                        | Unilateral CHL  | 3  | 7                         | Inhomogeneous thick<br>and fixed FP,<br>malleus anomaly                          | -   | Congenital stapes fixation    |
| 10            | F                        | Unilateral CHL  | 10   | 12                        | Malformed anterior<br>crus of the stapes,<br>gusher/OS                           | No pathological<br>changes of<br>the stapes<br>suprastructure | Otosclerosis                  |
| 11            | F                        | Unilateral CHL  | 3  | 7                         | Medial tilted<br>stapes, gusher,<br>complete fixed FP                            | -   | Congenital stapes fixation    |
| 12            | F                        | Unilateral CHL  | 6  | 8                         | Thick and complete<br>fixed FP   | -   | Congenital stapes fixation    |

TABLE 1. Patient data and intraoperative findings of 12 children (15 tympanotomies) with the intraoperative diagnosis

Children with congenital footplate fixation are shaded (summary from (11)).

FP, stapes footplate; CHL, conductive hearing loss; (\*), revision; OS, otosclerotic bone apposition.

#### Pre- and postoperative audiometric findings

|            | TABL  | E <b>2.</b> Auc | liometric | findings   | (1 day p | reoperat | ively and 3 week | ks to 12 i | months p   | ostopera | tively) |          |
|------------|-------|-----------------|-----------|------------|----------|----------|------------------|------------|------------|----------|---------|----------|
|            |       |                 | Preop     | erative au | diogram  | [kHz]    |                  | Postc      | perative a | udiogram | (Hz)    |          |
| Patient ID | Ear   |                 | 0.5       | 1          | 2        | 4        | ABG [dB]         | 0.5        | 1          | 2        | 4       | ABG [dB] |
| 1          | right | BC              | 5         | 10         | 10       | 5        | 21               | 5          | 10         | 20       | 20      | 28       |
|            |       | AC              | 40        | 35         | 20       | 20       |                  | 50         | 45         | 40       | 30      |          |
| 2          | left  | BC              | 10        | 20         | 15       | 20       | 30               | 35         | 40         | 50       | 50      | 45       |
|            |       | AC              | 40        | 55         | 40       | 50       |                  | 90         | 90         | 85       | 90      |          |
| 3          | left  | BC              | 5         | 10         | 5        | 10       | 55               | 35         | 50         | 40       | 35      | 39       |
|            |       | AC              | 65        | 65         | 60       | 60       |                  | 75         | 80         | 85       | 75      |          |
| 4          | left  | BC              | 0         | 0          | 5        | 5        | 31               | 5          | 5          | 5        | 5       | 11       |
|            |       | AC              | 35        | 35         | 35       | 30       |                  | 15         | 15         | 15       | 20      |          |
| 5          | right | BC              | 5         | 10         | 10       | 15       | 19               | 5          | 10         | 10       | 10      | 8        |
|            |       | AC              | 35        | 45         | 20       | 15       |                  | 15         | 25         | 15       | 10      |          |
| 6          | left  | BC              | 10        | 10         | 10       | 10       | 30               | 5          | 10         | 10       | 15      | 14       |
|            |       | AC              | 60        | 40         | 30       | 30       |                  | 25         | 25         | 20       | 25      |          |
| 7          | right | BC              | 15        | 20         | 15       | 25       | 36               | 15         | 15         | 15       | 20      | 11       |
|            |       | AC              | 50        | 50         | 60       | 60       |                  | 30         | 25         | 25       | 30      |          |
| 8          | left  | BC              | 0         | 5          | 5        | 5        | 49               | 40         | 40         | 45       | 45      | 35       |
|            |       | AC              | 45        | 55         | 55       | 55       |                  | 70         | 80         | 80       | 80      |          |
| 9          | left  | BC              | 15        | 25         | 15       | 25       | 28               | 25         | 40         | 45       | 50      | 28       |
|            |       | AC              | 45        | 45         | 55       | 45       |                  | 65         | 70         | 65       | 70      |          |
| 10         | right | BC              | 10        | 15         | 10       | 20       | 19               | 10         | 10         | 10       | 15      | 5        |
|            |       | AC              | 30        | 35         | 30       | 35       |                  | 15         | 10         | 20       | 20      |          |
| 11         | left  | BC              | 25        | 35         | 30       | 35       | 44               | 15         | 25         | 25       | 25      | 14       |
|            |       | AC              | 75        | 80         | 75       | 70       |                  | 25         | 45         | 45       | 30      |          |
| 12         | right | BC              | 10        | 15         | 20       | 15       | 31               | 10         | 10         | 15       | 15      | 5        |
|            | C     | AC              | 45        | 45         | 45       | 50       |                  | 15         | 15         | 20       | 20      |          |

ABG air bone gap for pure-tone average of 0.5, 1, 2 and 4 kHz, BC bone conduction, AC air conduction. Children with presumed congenital footplate fixation are shaded.

#### Low case number, multiple surgeons, various techniques

### Outcomes

- Stapes surgery improved hearing postoperatively in only 7 of the 12 children, and the best hearing results were seen in children with presumed juvenile otosclerosis.
- In two of five children with congenital stapes fixation, both bone and air conduction thresholds worsened.
- Although the development of a gusher often results in total hearing loss, hearing deteriorated only in Patient 2.
- De la Cruz et al: 44% of children with congenital stapes ankylosis had a postsurgical air-bone gap < 10 dB, as compared with 82% in children with presumed otosclerosis.
- Raveh et al. achieved 0- to 10-dB airbone gaps in only 2 children, whereas 8 of 12 children with fixed stapes had postsurgical air-bone gaps greater than 30 dB.

#### Outcomes of Primary Pediatric Stapedotomy Dornhoffer et al., 2019

- 59 children (67 ears) underwent surgery for stapes fixation from 2001 – 2017, single surgeon
- 4–16 years of age
- All with preop CT to rule out facial nerve abnormalities and <u>concurrent inner ear abnormalities</u>
- Standardized technique: Traditional stapedotomy (88.1%, incus to footplate fenestra) or malleovestibulopexy (11.9%, malleus to footplate fenestra)





Otosurgery atlas

|                 | 0 1             | 5               |                 |                 |
|-----------------|-----------------|-----------------|-----------------|-----------------|
|                 | Total           | CSFF            | TS              | JO              |
| Total cases (n) | 67              | 49              | 14              | 4               |
| Age             | $10.0 \pm 3.3$  | $9.9\pm3.4$     | $10.3 \pm 2.96$ | $11.5 \pm 3.4$  |
| Gender          |                 |                 |                 |                 |
| F               | 37              | 30              | 5               | 2               |
| М               | 30              | 19              | 9               | 2               |
| Side            |                 |                 |                 |                 |
| L               | 31              | 24              | 5               | 2               |
| R               | 36              | 25              | 9               | 2               |
| Procedure       |                 |                 |                 |                 |
| Stapedotomy     | 59              | 42              | 13              | 4               |
| MVP             | 8               | 7               | 1               | 0               |
| Pre-op          |                 |                 |                 |                 |
| Air             | $51.2 \pm 15.3$ | $51.4 \pm 16.8$ | $50.7 \pm 11.6$ | $51.7\pm4.3$    |
| Bone            | $12.4 \pm 7.4$  | $12.8\pm8.3$    | $10.8 \pm 3.5$  | $13.3\pm5.93$   |
| ABG             | $38.8 \pm 13.2$ | $38.5 \pm 14.4$ | $39.9 \pm 10.4$ | $38.3\pm7.6$    |
| Post-op         |                 |                 |                 |                 |
| Air             | $36.6 \pm 17.2$ | $35.6 \pm 18.0$ | $41.8 \pm 13.1$ | $31.3\pm19.3$   |
| Bone            | $12.7\pm7.5$    | $13.2\pm8.0$    | $11.4 \pm 6.1$  | $10.8\pm6.5$    |
| ABG             | $23.3 \pm 12.0$ | $21.0 \pm 11.4$ | $30.4\pm10.9$   | $22.8 \pm 14.9$ |
| T&C, etc.       |                 |                 |                 |                 |
|                 |                 |                 |                 |                 |

**TABLE 1.** Demographic information and results of the patient group analyzed

ABG indicates air-bone gap; CSFF, congenital stapes footplate fixation; JO, juvenile otosclerosis; MVP, malleovestibulopexy; T&C, Teunissen and Cremers; TS, tympanosclerosis.

#### CSFF

| T&C Classification | Total (%) |
|--------------------|-----------|
| 1                  | 22 (44.9) |
| 2                  | 19 (38.8) |
| 3                  | 0         |
| 4                  | 8 (16.3)  |
|                    | 49        |

**TABLE 2.** Teunissen and Cremers classification breakdown

 1 – isolated congenital stapes fixation; 2 – stapes fixation with other ossicular chain anomaly; 3 – mobile footplate with ossicular chain anomaly; 4 – aplasia or dysplasia of oval or round window. T&C indicates Teunissen and Cremers.

#### Outcomes

- Average follow-up time from procedure to most recent audiogram for the group was 34.6 months with 15 (22%) of patients having follow-up out to 5 years.
- No sensorineural hearing loss, bone conduction thresholds were not significantly different between preoperative and postoperative audiograms.
- JO and CSFF outcomes similar, which differs from current literature- better outcome historically expected for JO, given high likelihood for concurrent middle and inner ear malformations in CSFF

#### Other outcomes-De La Cruz et al., 1999

**Table 6.** Characteristics and hearing results for primary stapedectomies, congenital stapedial fixation, and juvenile otosclerosis

|  | Congenital stapedial<br>fixation (n = 44) | Juvenile<br>otosclerosis (n = 39) | <i>P</i> value |
|--|---|-----------------------------------|----------------|
| Age of onset of hearing loss (yr)          | 3.03 ± 2.8                                | $10.18 \pm 4.6$                   | <0.001         |
| Age at surgery (yr)                        | $11.4 \pm 3.9$                            | $14.8 \pm 3.1$                    | <0.001         |
| Family history of hearing loss (%)         | 9.8                                       | 53                                | <0.001         |
| Bilaterality (%)                           | 77.6                                      | 90                                | NS             |
| Severe malleus and incus abnormalities (%) | 25  | 3 (n = 34)                        | < 0.001        |
| Preoperative AC (0.5, 1, 2, 3 kHz) (dB)    | 55.1 ± 13.9                               | $49.7 \pm 12.1$                   | p = 0.05       |
| Preoperative BC (0.5, 1, 2, 3 kHz) (dB)    | $20 \pm 10.6$                             | $21.8 \pm 9.8$                    | NS             |
| Preoperative ABG (0.5, 1, 2, 3 kHz) (dB)   | $35.2 \pm 12.9$                           | 27.8 ± 8.9                        | 0.002          |
| Preoperative SNH (1, 2, 4 kHz) (dB)        | $20.6 \pm 11.9$                           | $21.7 \pm 9.4$                    | NS             |
| Postoperative SNH (1, 2, 4 kHz) (dB)       | $17.2 \pm 12.5$                           | $17.3 \pm 8.4$                    | NS             |
| Improvement (>10 dB PTA, >15% SDS) (%)*    | 76.7                                      | 82.9                              | NS             |
| Within 10 dB ABG (%)                       | 44.4                                      | 82.4                              | 0.03           |

SNH, Sensorineural hearing; NS, no significant difference (P > 0.05)

\*Improvement is defined as a decrease greater than 10 dB in the AC average (0.5, 1, 2, 3 kHz) or an increase greater than 15% in SDS.